## PATENT APPLICATION

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of Docket No: Q65478

Tatsuo KAKIMOTO, et al.

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Confirmation No.: 3296 Examiner: Cherie Michelle WOODWARD

Filed: August 01, 2001

For: ANALYSIS OF AGONIST-ACTIVITY AND ANTAGONIST-ACTIVITY TO

CYTOKININ RECEPTOR

## **DECLARATION UNDER 37 C.F.R. § 1.131**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

## I, TSUTOMU INOUE, do hereby declare and state:

THAT Tatsuo Kakimoto, Masayuki Higuchi, and I are the inventors of the subject matter disclosed and claimed in the above-mentioned application;

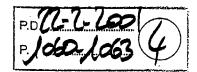
THAT we are co-authors of *Nature* Vol. 409, 1060-1063 (2001) (a copy of which is attached); and

THAT the present invention was invented prior to October 16, 2000, as evidenced by the date that the manuscript published as *Nature* Vol. 409, 1060-1063 was received by the Journal Nature for publication (see page 1063, above references). *Nature* Vol. 409, 1060-1063 shows typical working examples of the present invention (see page 1061, right column, lines 15-36, and page 1062, Figure 4). *Nature* Vol. 409, 1060-1063 shows *CRE1* gene, which is a typical example of a cytokinin receptor gene within scope of the claims. *Nature* Vol. 409, 1060-1063 also shows a yeast strain deficient in the *SLN1* gene ( $sln1 \Delta$  mutant) (page 1061, right column, lines 15-26), which is a typical example of "a host cell having a lowered intrinsic histidine kinase activity, wherein said intrinsic histidine kinase activity was lowered by the defect in one or more histidine

kinase genes". Furthermore, *Nature* Vol. 409, 1060-1063 shows a *sln1* △ mutant carrying p415CYC-CRE1 (page 1061, right column, lines 26-27), which is a typical example of "a cell transformed with DNA comprising a cytokinin receptor gene, wherein the transformed cell expresses said cytokinin receptor from said DNA, and wherein growth of said transformed cell is controlled by intracellular signal transduction from said cytokinin receptor". Moreover, *Nature* Vol. 409, 1060-1063 shows a method for determining a level of intracellular signal transduction by measuring growth of said transformed cell in presence of examinee substance (page 1061, right column, lines 28-29), and determining a second level of intracellular signal transduction by measuring growth of said transformed cell in absence of said examinee substance (page 1061, right column, lines 26-27). *Nature* Vol. 409, 1060-1063 further shows comparing said level and said second level of intracellular signal transduction from said cytokinin receptor (page 1061, right column, lines 26-36, and page 1062, Figure 4), Thus, *Nature* Vol. 409, 1060-1063 shows typical working examples of the claimed method for determining agonist-activity to a cytokinine receptor.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 or the United States Code, and that such willful false statements by jeopardize the validity of this application or any patent issuing thereon.

Date:	Name:	
<del>.</del>	TSUTOMU INOUE	



# XP-001061408

# Identification of CRE1 as a cytokinin receptor from *Arabidopsis*

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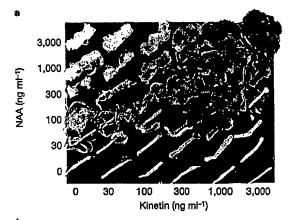
Cytokinins are a class of plant hormones that are central to the regulation of cell division and differentiation in plants<sup>1,2</sup>. It has been proposed that they are detected by a two-component system, because overexpression of the histidine kinase gene CKI1 induces typical cytokinin responses' and genes for a set of response regulators of two-component systems can be induced by cytokinins45. Two-component systems use a histidine kinase as an environmental sensor and rely on a phosphorelay for signal transduction. They are common in microorganisms, and are also emerging as important signal detection routes in plants<sup>6-9</sup>. Here we report the identification of a cytokinin receptor. We identified Arabidopsis crel (cytokinin response 1) mutants, which exhibited reduced responses to cytokinins. The mutated gene CRE1 encodes a histidine kinase. CRE1 expression conferred a cytokinindependent growth phenotype on a yeast mutant that lacked the endogenous histidine kinase SLN1 (ref. 10), providing direct evidence that CRE1 is a cytokinin receptor. We also provide evidence that cytokinins can activate CRE1 to initiate phosphorelay signalling.

Generally, cytokinins induce cell division, chloroplast development and formation of shoots (buds)<sup>1</sup>. We screened mutagenized Arabidopsis for mutants that were impaired in cytokinin responses, including rapid cell proliferation and shoot formation in tissue culture. We isolated a mutant designated cytokinin response 1-1 (cre1-1). We tested the responses of cre1-1 to auxin and cytokinin in tissue culture, using naphthalene acetic acid (NAA) as an auxin and kinetin as a cytokinin (Fig. 1). Wild-type explants responded to increasing levels of kinetin with rapid proliferation, greening and formation of shoots (Fig. 1a). By contrast, such cytokinin responses were not evident in cre1-1 (Fig. 1b). The mutant was also less

responsive to other cytokinins, including trans-zeatin, isopentenyladenine, benzyl adenine and the phenylurea-type synthetic cytokinin thidiazuron (see Supplementary Information).

Next we tested the responses of cre1-1 to various plant hormones in a root elongation assay. External application of cytokinins<sup>11</sup>, ethylene<sup>12</sup>, auxins<sup>13</sup> or abscisic acid<sup>14</sup> inhibits root elongation. The root of the cre1-1 mutant was less sensitive to benzyl adenine than that of wild-type plants, but it responded normally to the ethylene precursor 1-aminocyclopropane-1-carboxylic acid (ACC) and the auxin indole-3-acetic acid (IAA) (Fig. 2a-c). The responses of cre1-1 to low levels of abscisic acid (ABA) were slightly higher than normal (Fig. 2d). The cytokinin responses of cre1-1 heterozygotes were intermediate between those of cre1-1 homozygotes and the wild type (see Supplementary Information).

We mapped the CRE1 locus to the top of chromosome 2 between the rga and nga1145 markers (see Supplementary Information). We searched the genome sequence of Arabidopsis between these markers for genes that could code for proteins involved in signal transduction. Among them was the hypothetical gene At2g01830, possibly coding for a histidine kinase. The nucleotide sequence of At2g01830 revealed that this gene was mutated in the cre1-1 mutant. Hereafter we refer to this gene as CRE1. CRE1 is identical to WOL15 (see below) and AHK4 (ref. 16). A genomic fragment containing CRE1 was introduced into cre1-1 mutant calli. Wild-type calli that had been transformed with the control vector regenerated shoots when cultured in the presence of the



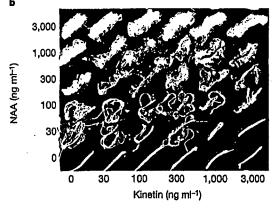


Figure 1 Callus growth of the cytokinin-resistant mutant cre1-1 in different auxin and cytokinin concentrations. Hypocotyl segments were excised and cultured on media containing different levels of kinetin and NAA. After 21 days in culture, the induced calli were arranged and photographed. Wild-type explants (a) prolliferated rapidly, turned green, and produced shoots in the presence of high concentrations of cytokinins. The cre1-1 explants (b) did not.

cytokinin trans-zeatin, but crel-1 mutant calli transformed with the control vector did not (Fig. 3). However, mutant calli regenerated shoots in the presence of trans-zeatin if they had been transformed with pGPTV-KAN-CRE1 (Fig. 3d), indicating that CRE1 complemented the crel-1 mutant.

We screened a library of Arabidopsis complementary DNA and isolated the corresponding cDNA clones, which were derived from two types of alternately spliced message named CRE1a and CRE1b. The predicted protein for CRE1a consists of 1,057 amino acids and that for CRE1b has 23 extra amino acids at its amino terminus. The CRE1a product was used for further study and for numbering of the amino-acid residues. The carboxy-terminal region of CRE1 carries a histidine kinase domain and a receiver domain. Between these domains, there is another region with weak similarity to receiver domains. According to the PSORT prediction (http://psort.nibb.ac.jp/form.html), CRE1 probably localizes to the plasma membrane. The N-terminal region probably consists of an extracellular domain flanked by two transmembrane segments, and the C-terminal region is intracellular. We detected CRE1 message in various tissues (data not shown), but the highest expression was in the root<sup>15</sup>. The cre1-1 mutation converted Gly 467 in the histidine kinase domain to Asp 467 (see Supplementary Information). Arabidopsis has genes for two products, AAF99730 (AHK3 (ref. 16) and BAB09274 (AHK2 (ref. 16), that share high sequence similarity to CRE1, being 52% and 54% identical, respectively, over their entire proteins, and 61% and 60% identical, respectively, over their extracellular domains. CKI1 was less similar to CRE1 than these proteins (see Supplementary Information).

We also isolated an Arabidopsis line, cre1-2, with a T-DNA (see Methods) insertion in the CRE1 gene. The integration occurred in the place of nine base pairs of CRE1 between nucleotide positions +75 and +84 relative to the inferred translation initiation site (see

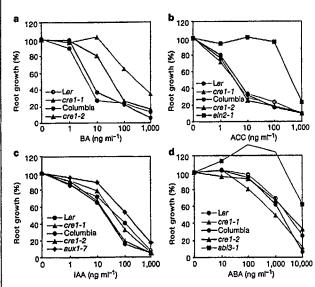


Figure 2 Root growth of the *cre1-1*, *cre1-2* and hormone-related mutants in the presence of various plant hormones. Seeds were sown on GM plates<sup>22</sup> containing BA (a), ACC (b) or IAA (c). After chilling for three days, plates were incubated at 23 °C for eight days and the root lengths were measured. To determine ABA response, two-day-old seedlings germinated on GM were moved onto plates containing different concentrations of ABA and cultured for six days (d). Root growth was expressed relative to the mean root elongation of the same genotype on the medium without plant hormones. Each value represents the mean of at least 11 plants. *cre1-1* and *abi3-1* have Lergenetic background (red symbols); *cre1-2*, *ein2-1* and *aux1-7* have Columbia genetic background (black symbols). The root length of each genotype in the absence of plant hormones shown in a – d is given in the Supplementary Information.

Supplementary Information). The cre1-2 line, which was homozygous for the T-DNA insertion, was also resistant specifically to cytokinins in the root elongation assay (Fig. 2) and in the callus growth and shoot formation assays (data not shown). The cre1-2 mutant was complemented by introduction of the CRE1 gene (see Supplementary Information). The presence of the mutation in the CRE1 gene in either of the cre1-1 or cre1-2 mutants, and complementation of cre1-1 and cre1-2 by CRE1, are definitive evidence that mutations in CRE1 cause the cytokinin-insensitive phenotype of the cre1 mutants. The cre1 mutants were allelic to the wol mutant (that is, the cre1 and wol mutants bore mutations in the same gene), which is impaired in cell division and proper formation of vascular tissue of the root<sup>15</sup>. The xylem organization of cre1-1 was also altered (data not shown).

To explore the function of the CRE1 gene, we expressed CRE1 (Fig. 4) in a yeast strain deficient in the SLN1 gene, which encodes an osmosensing histidine kinase10. At normal osmolarity, SLN1 autophosphorylates the conserved histidine residue. The phosphoryl group is then transferred to the conserved aspartate residue in the receiver domain of the same protein, then to the phosphotransfer mediator YPD1, and finally to the SSK1 response regulator. This in turn inhibits the ability of SSK1 to activate the downstream mitogen-activated protein (MAP) kinase pathway<sup>17</sup>. The sln1 $\Delta$ mutant is lethal because the downstream SSK1 is always dephosphorylated, which overactivates the downstream MAPK pathway10,17. The sln1\Delta mutant carrying p415CYC-CRE1, which would express the CREI gene, was still lethal without cytokinins. However, surprisingly, it grew at a normal rate if trans-zeatin, a native cytokinin, was included in the medium. It is noteworthy that the active cytokinin trans-zeatin18 was effective in this yeast system, but the much less active cytokinin cis-zeatin18 was ineffective (Fig. 4a). Other active cytokinins-isopentenyladenine, benzyl adenine and thidiazuron—were also effective. The plant hormones IAA, gibberellin A3 and abscisic acid had no effect. Expression of CRE1b, another form of alternatively spliced product, in the  $sin1\Delta$ mutant gave the same results (data not shown).

p415CYC-CRE1 did not suppress the lethality of the ypd1∆ mutant on plates either with or without cytokinins, indicating that

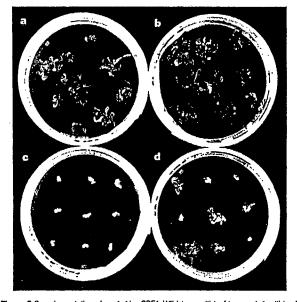


Figure 3 Complementation of *cre1-1* by *CRE1*. Wild-type calli (**a**, **b**) or *cre1-1* calli (**c**, **d**) were transformed with pGPTV–KAN (**a**, **c**) or pGPTV–KAN–CRE1 (**b**, **d**) and cultured for 19 days with 0.5 µg ml<sup>-1</sup> *trans*-zeatin and 0.3 µg ml<sup>-1</sup> indote butyric acid (an auxin). Shoots regenerated from different calli are independent transformants, and those on the same callus may or may not be independent.

# letters to nature

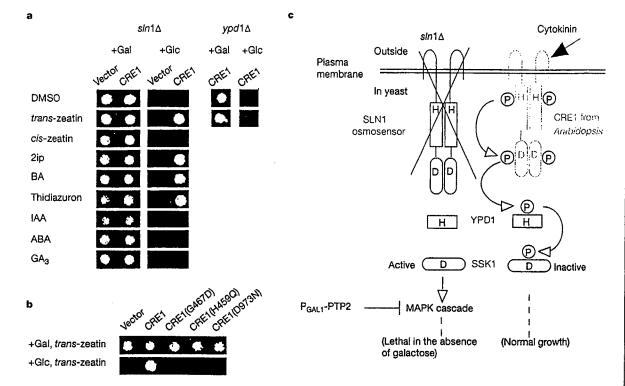


Figure 4 Cytokinin-dependent growth phenotype of yeast in which SLN1 had been replaced with CRE1. a, sln1Δ and ypdΔ strains were transformed with the vector p415CYC (vector) or p415CYC—CRE1 (CRE1). Suspensions of transformants were spotted onto a plate containing a plant hormone as indicated, and galactose (+Gal) or glucose (+Gic). b, Effect of the mutation that was present in the Arabidopsis cre1-1 mutant (G467D), or of the mutation that changed the conserved His 459 or Asp 973

phosphorylation sites (H459Q or D973N respectively). **c**, The presumed events in yeast. *CRE1* suppresses the lethality of  $sin1\Delta$  but not  $ypd1\Delta$  in the presence of cytokinins. The  $sin1\Delta$  mutant is lethal because the dephosphorylated SSK1 constitutively activates the MAPK pathway. Cytokinins probably activate the histidine kinase activity of the CRE1 protein to initiate the phosphorelay, whereby the phosphoryl group is transferred from the activated CRE1 to YPD1, then to SSK1, suppressing the lethality of  $sin1\Delta$ .

signal transduction from CRE1 is mediated by YPD1 in yeast (Fig. 4c). We next introduced the mutation that was present in the Arabidopsis cre1-1 mutant into p415CYC-CRE1. The resulting plasmid, p415CYC-CRE1(G467D), could not suppress the lethality of sln1\Delta either with or without trans-zeatin, indicating that the CRE1 gene of the Arabidopsis cre1-1 mutant was nonfunctional (Fig. 4b). Mutations at either the conserved His 459 or Asp 973 of the phosphorylation site in the histidine kinase or the receiver domains, respectively, also destroyed the ability of CRE1 to suppress the lethality of the sln1\Delta mutant (Fig. 4b). Therefore, cytokinins probably activate the histidine kinase activity of CRE1, and the signal is probably transmitted through YPD1 to SSK1. Arabidopsis also has phosphotransfer mediators 20,21, which resemble YPD1, and response regulators<sup>4,5,7</sup>. Therefore, in plants, cytokinins probably activate CRE1 and possibly its homologues, which in turn initiate the phosphorelay signalling that governs cytokinin

In Arabidopsis, ethylene receptors. and possibly osmosensors are histidine kinases. CKI1 histidine kinase has been implicated in the detection or signal transduction (or both) of cytokinins, but its function has yet to be clarified. We have provided evidence that the CRE1 histidine kinase is a cytokinin receptor: mutations in the CRE1 gene caused a cytokinin-insensitive phenotype in Arabidopsis, and expression of CRE1 conferred a cytokinin-responsive phenotype on yeast. The cre1 and wol mutants were impaired in the cell division and differentiation that is essential for proper formation of the root vascular tissue. This observation, coupled with our data, underlines the importance of cytokinin signalling in this process. The CRE1 homologues AAF99730 and BAB09274 may

also function as cytokinin receptors, which may explain why defects in CRE1 did not cause more diverse phenotypes related to cytokinin functions.

## Methods

### Screening for mutants impaired in cytokinin responses

Seeds of A. thaliana ver. Ler were mutagenized with ethyl methanesulphonate, and seeds obtained after self-pollination (M2 seeds) were used<sup>12</sup>. Hypocotyl segments of M2 seedlings were aseptically excised and cultured on GM medium<sup>12</sup> supplemented with 100 ng mi<sup>-1</sup> of 2,4-dichlorophenoxyacetic acid (2,4-D), 100 ng mi<sup>-1</sup> of kinetin, and vitamins (100, 10, 1, 1 and 1 µg mi<sup>-1</sup>, respectively, of inositol, thiamine, nicotinic acid, pyridoxine HCl and biotin). Kinetin of this concentration is sufficient to induce cytokinin responses in wild-type explants, including induction of calli with rapid growth and greening without forming root primordia. The top portion of the plant, corresponding to each hypocotyl segment, was grown on GM medium. Calli with a reduced green colour with many root primordia, which usually occur under low levels of kinetin, were chosen as mutant candidates, and their seeds were obtained by growing the corresponding top portions. From about 19,000 M2 seedlings, one line was confirmed for the heritability of the callus phenotypes. Root growth was measured on GM medium supplemented with a plant hormone as described in Fig. 2.

#### Transformation of calli

A genomic region encompassing the CRE1 gene was amplified by polymerase chain reaction (PCR) from genomic DNA of Ler using primers 5'-AGCACAATGTGAGTTT-CACTGGCCTC-3' and 5'-AGCTCAAGTCGTCGACTGAGCTATGAG-3'. The amplified fragment was digested with Sall and cloned into the pGPTV-KAN-3' vector between the Smal and Sall sites. The sequence of the resultant construct, pGPTV-KAN-CRE1, was confirmed and was transformed into Arabidopsis calli by the Agrobacterium-mediated method, as described<sup>3,24</sup>, except that hormone concentrations of CIM medium<sup>24</sup> were changed to 0.5 µg ml<sup>-1</sup> 2,4-D and 0.5 µg ml<sup>-1</sup> kinetin. The transformed calli were cultured on GM medium supplemented with 50 µg ml<sup>-1</sup> kanamycin sulphate, 100 µg ml<sup>-1</sup> cefotaxime, 100 µg ml<sup>-1</sup> vancomycin, 0.3 µg ml<sup>-1</sup> indole butyric acid and 0.5 µg ml<sup>-1</sup> cefotaxime, 100 µg ml<sup>-1</sup> vancomycin, 0.3 µg ml<sup>-1</sup> indole butyric acid and 0.5 µg ml<sup>-1</sup>.

#### Screening for T-DNA insertion lines

We used the T-DNA insertion-line screening system organized at the Kazusa DNA Research Institute. The principles of the screening method were as described<sup>13</sup>. Genespecific primers were 5'-ATATGCGATAGCGACTCTCGTACAA-3' and 5'-AACCCAAATGCATATCAATCAGCAG-3'. T-DNA (pPCVICEn4HPT)<sup>26</sup> specific primers were 5'-ATAACGCTGCGGACATCTAC-3' and 5'-ATCTAGGCTTTGATAGTCAC-3'. We used four combinations of primer sets, each consisting of a gene specific primer and a T-DNA-specific primer. The position of the T-DNA insert was determined by sequencing the PCR products carrying the T-DNA-genome junctions.

#### Yeast experiments

The entire coding region of the CRE1a cDNA was PCR-amplified and cloned into the yeast expression vector p415CYC<sup>32</sup> under the CYC1 promoter at the Smal site, generating p415CYC-CRE1. We used the QuickChange site-directed mutagenesis kit (Stratagene) to generate p415CYC-CRE1(G467D), p415CYC-CRE1(H459Q) and p415CYC-CRE1(D973N). After sequence confirmation, plasmids were introduced into sln1\(\Delta\) (strain TM182<sup>10</sup>) or ypd1\(\Delta\) (strain SW100<sup>12</sup>). Suspensions of transformants were spotted (about 800 cells per spot) onto drop-out media with 10 \(\mu\)M plant hormones as indicated in Fig. 4, with either 2% glucose or 2% galactose.

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Supplementary information is available on Nature's World-Wide Web site (http://www.nature.com) or as paper copy from the London editorial office of Nature.

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E. Kemper for pGPTV-KAN and K. Torii for comments. Seeds of abi3-1, aux1-7 and ein2-1 were obtained from ABRC. p415CYC was obtained from ATCC. This study was in part supported by grants from the Ministry of Education, Science and Culture of Japan, and from the Science and Technology Agency to T.K.

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(e-mail: kakimoto@bio.sci.osaka-u.ac.jp). The accession numbers for CREIa and CREIb are AB049934 and AB049935, respectively.